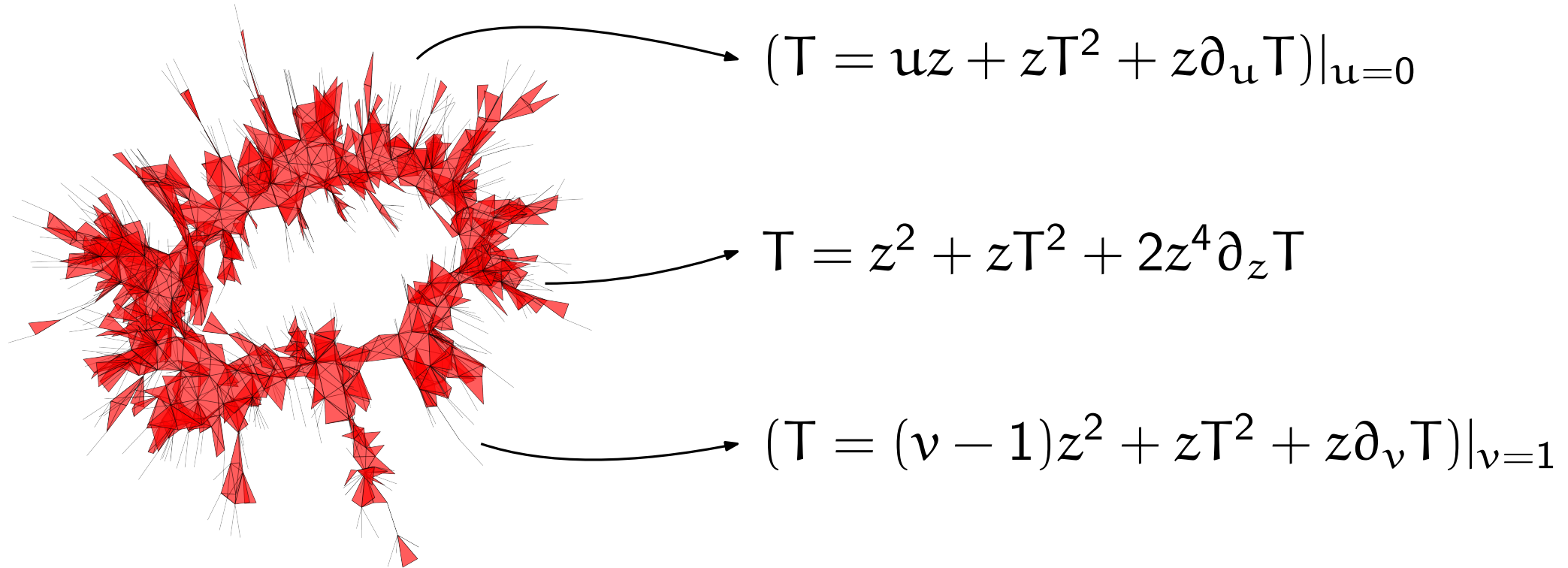


# On some functional equations for maps



**Alexandros Singh (Université Paris 8)**

Based on joint work(s) with Olivier Bodini and Konstantinos Tsagkaris

Topical day: Elimination for Functional Equations

December 11, 2023

# Outline

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- Presentation of maps

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- Some of our results on statistics/parameters of maps



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- The basic tools we use to derive (most of) them

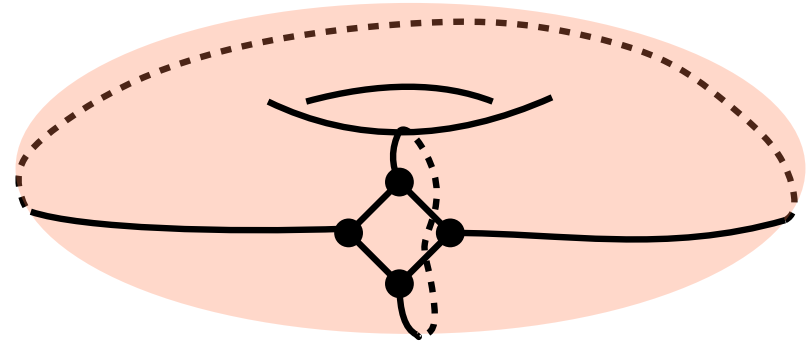
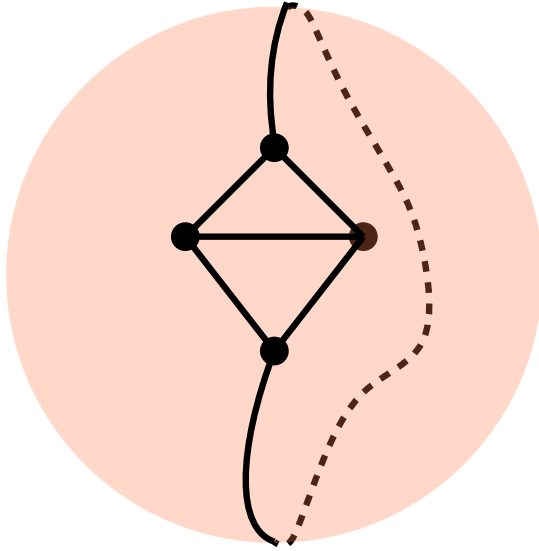
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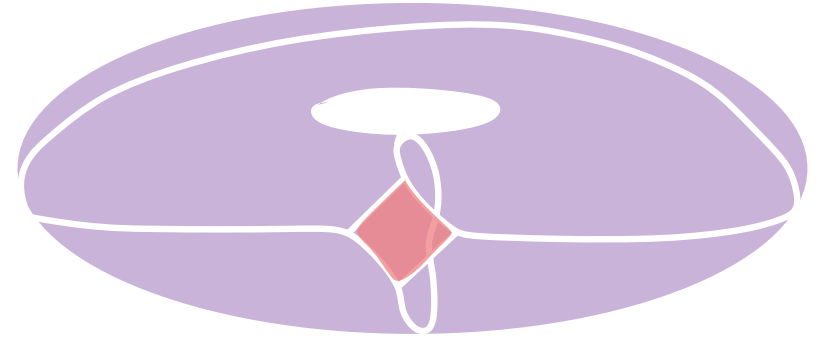
- Presentation of maps
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- “Guessing” and relating functional equations
- Questions for computer algebraists

What are maps?



Cellular embeddings of (multi)graphs on surfaces.

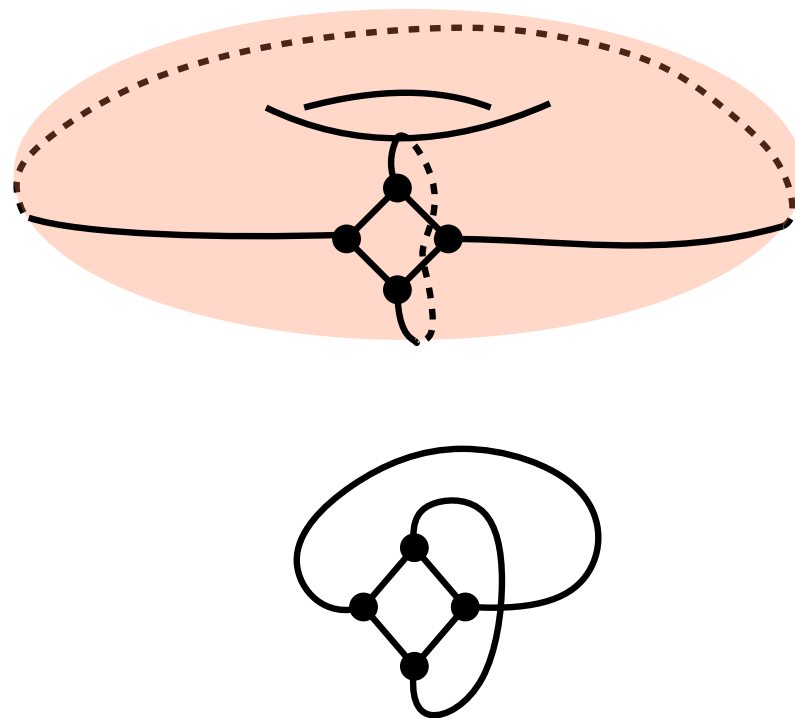
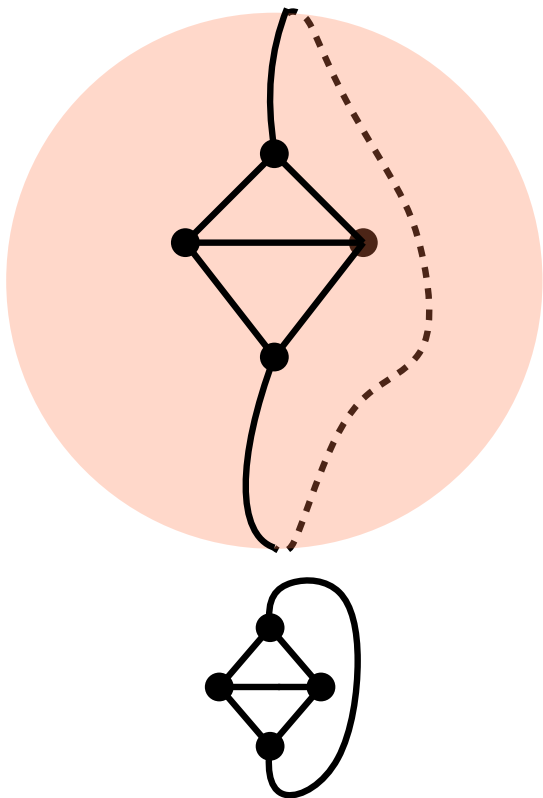
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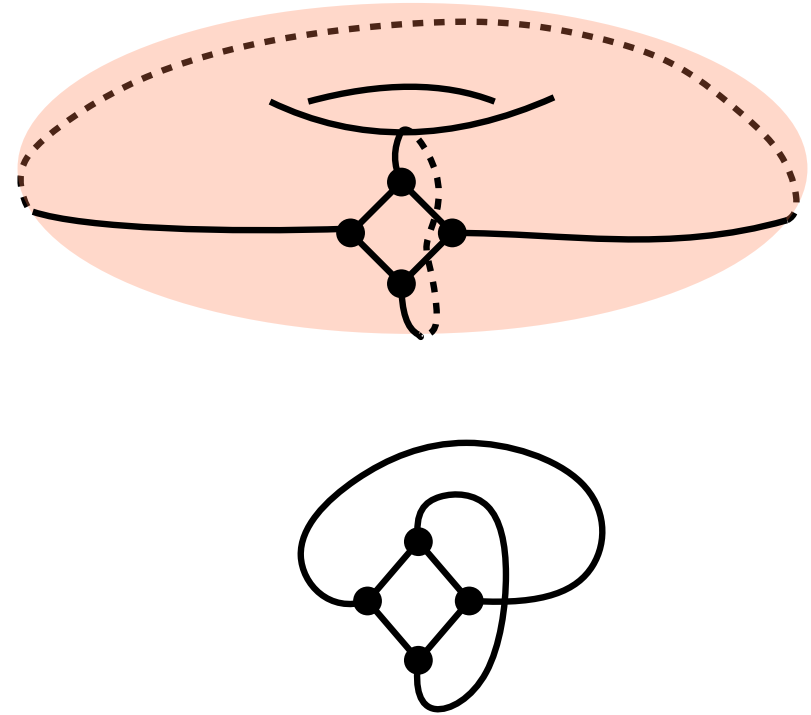
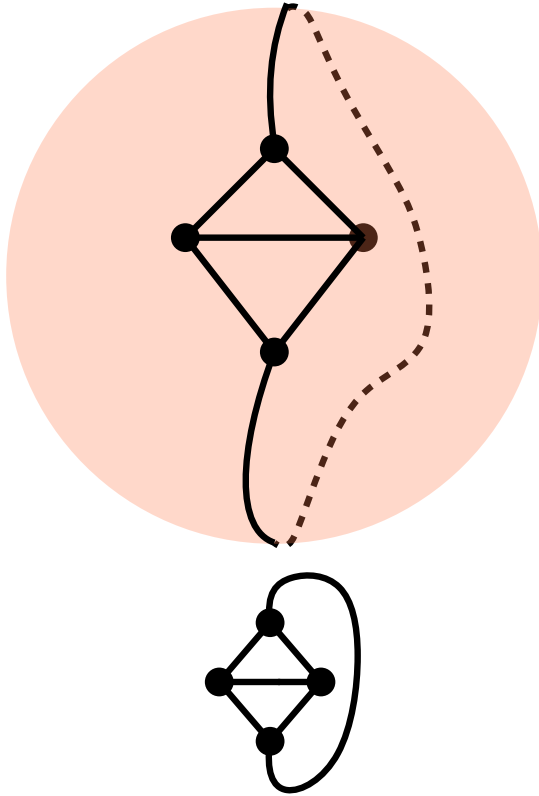
4CT...

- A central object in modern combinatorics, but not only that:  
probability, algebraic geometry, theoretical physics...

scaling limits...

matrix integrals, Witten's conjecture, ...

# What are maps?



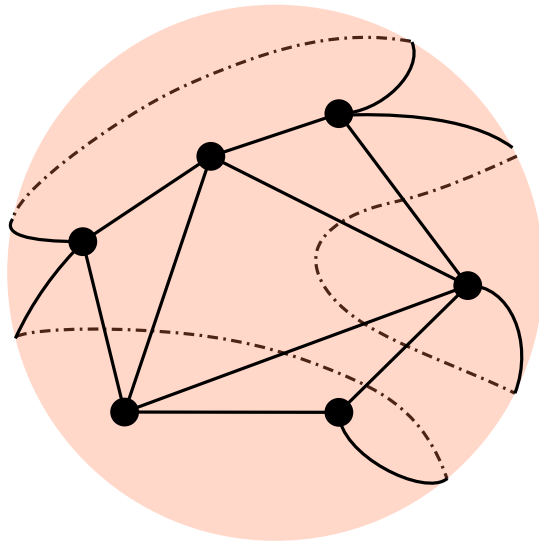
- A central object in modern combinatorics, but not only that: probability, algebraic geometry, theoretical physics...
- Their enumeration was pioneered by Tutte in the 60s, as part of his approach to the four colour theorem.

# Triangulations and trivalent maps



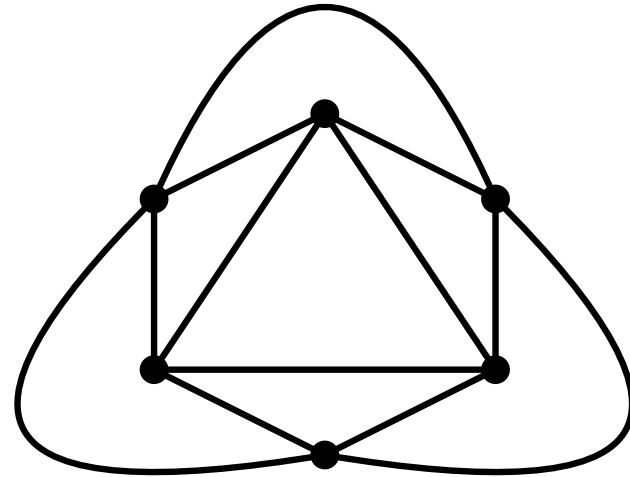
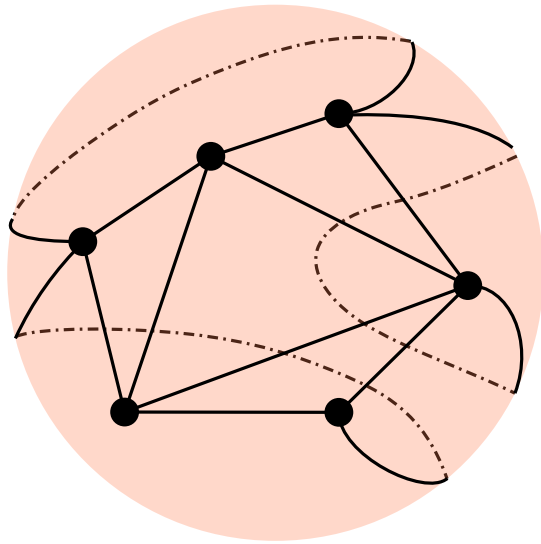
# Triangulations and trivalent maps

A much studied class: maps where all faces are of degree 3



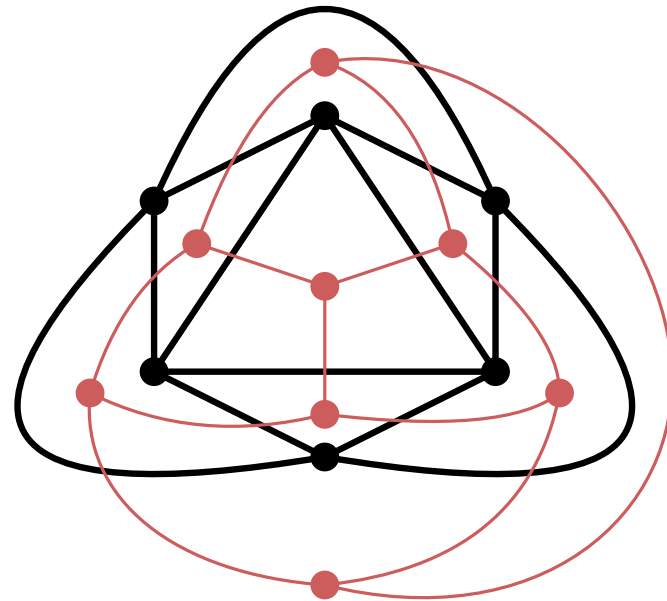
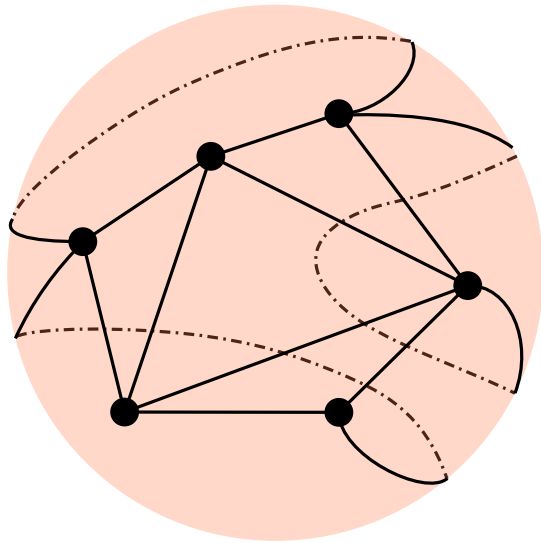
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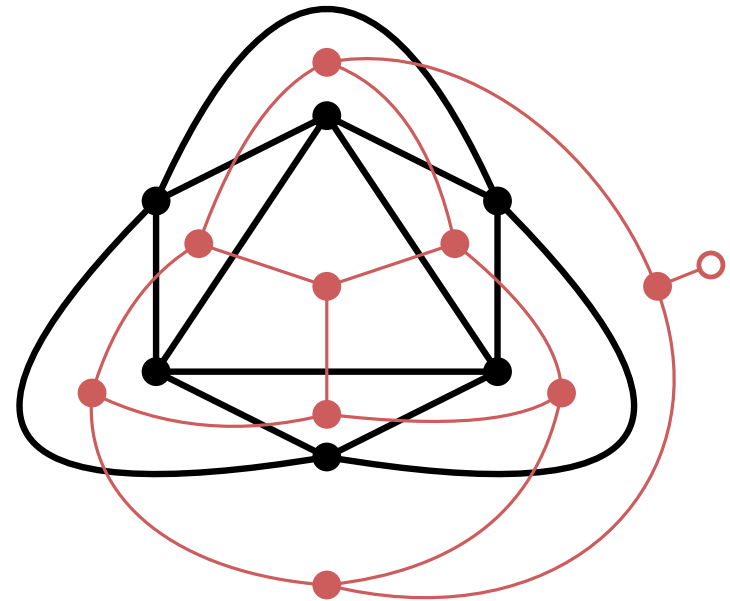
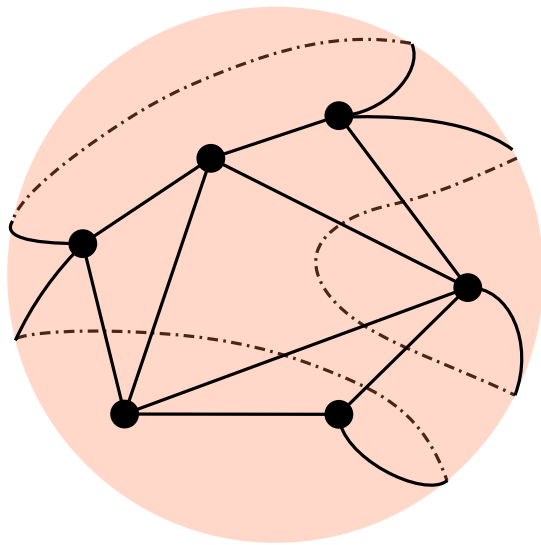
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# Triangulations and trivalent maps

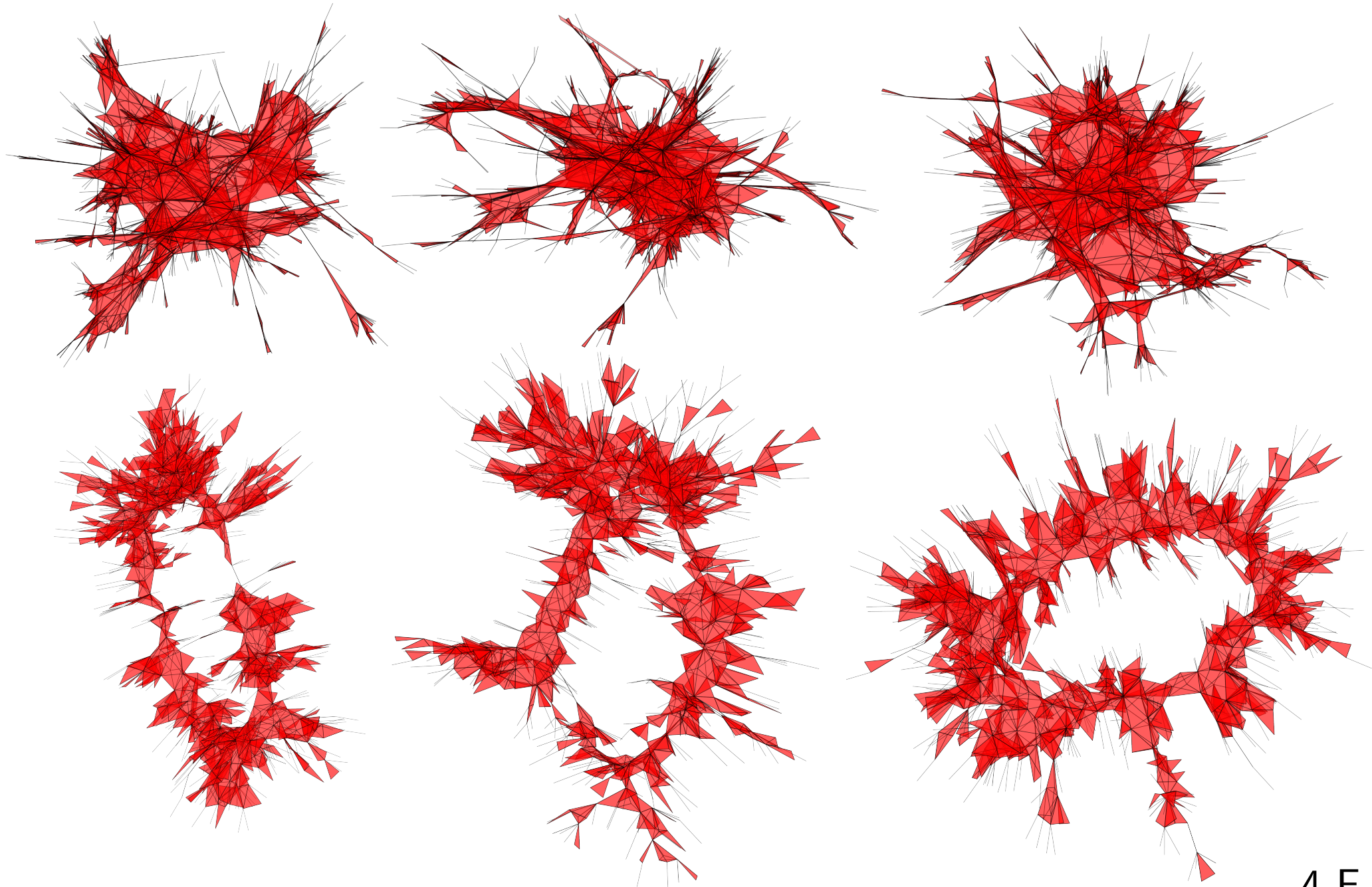
A much studied class: maps where all faces are of degree 3 and their duals with vertices of degree 3.



Rooting them makes it easier to count.

# Triangulations and trivalent maps

Random triangulations of the sphere and torus with  $\approx 3000$  triangles:



# Triangulations and trivalent maps

Why study such maps?

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- QFT in zero dimensions [CLP78]

$$\mathcal{Z} = \int e^{-\left(\frac{\phi^2}{2} + \frac{z\phi^3}{3}\right) + J\phi} d\phi, \quad \langle \phi \rangle_{J=0} =$$



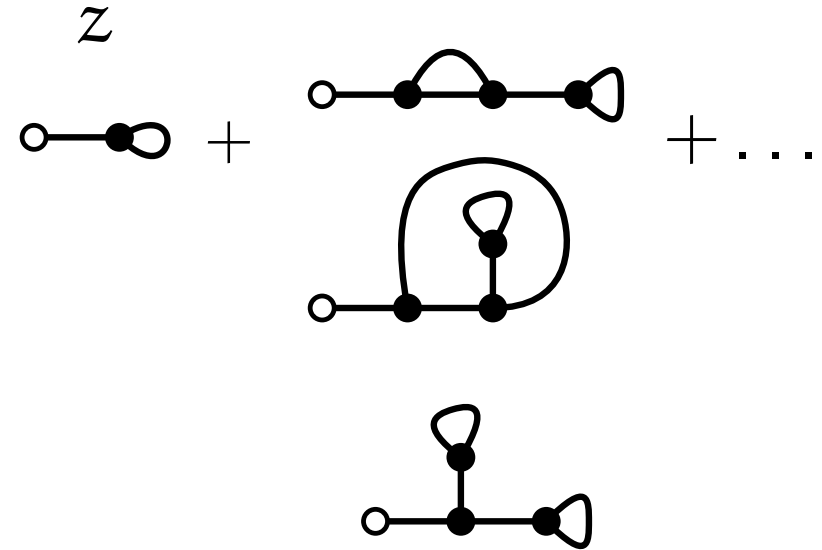
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$$\int D[g] \rightarrow \sum_{t \in \mathcal{G}} \quad \text{with } \mathcal{G} \text{ a suitable class of triangulations}$$

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$$(\lambda x.x) \quad (\lambda y.(\lambda z.z \ y)) \quad (\lambda w.\lambda u.w \ u))$$

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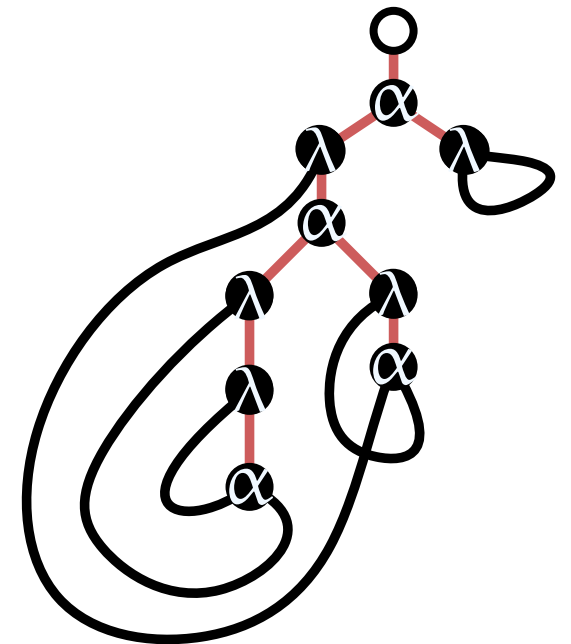
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Algebra:

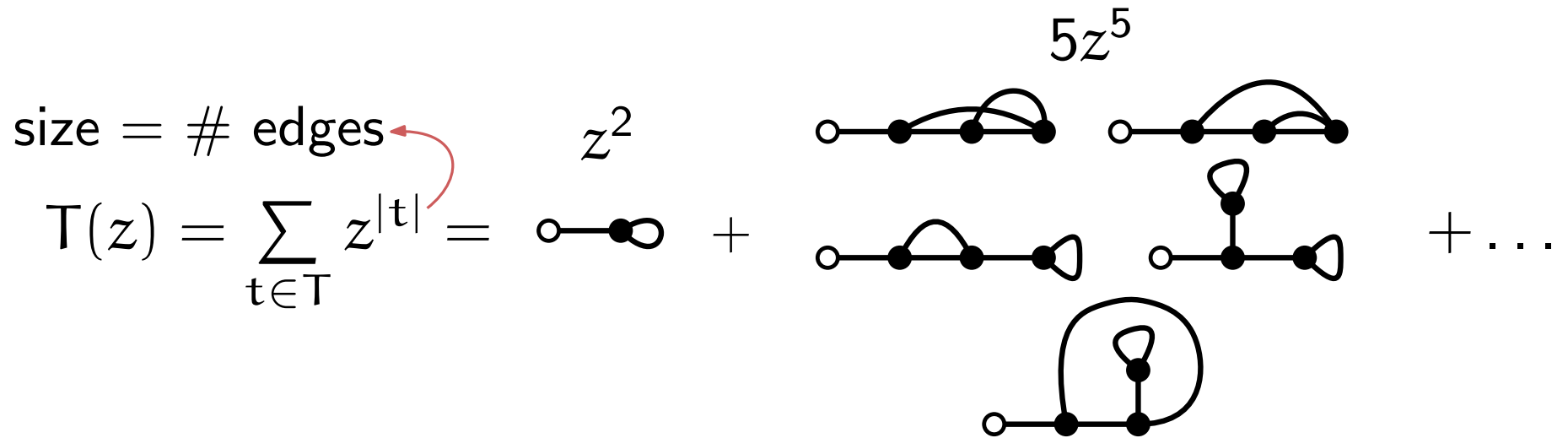
- Combinatorics of subgroups of the modular group  $\text{PSL}(2; \mathbb{Z})$  [HMR16]

# Combinatorial questions



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- Counting via generating functions



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- Counting via generating functions

size = # edges  $\leftarrow$

$$T(z) = \sum_{t \in T} z^{|t|} = z^2 + 5z^5 + \dots$$

- “Advanced counting”: combinatorial parameters, observables

$$T(z, v) = \sum_{t \in T} z^{|t|} v^{\#\text{loops}} = vz^2 + (v^2 + 2v + 2)z^5 + \dots$$

# Some results [BSZ21,S22]

● = w. Bodini, Zeilberger   ● = ● + Gittenberger, Wallner

Parameters on maps and terms of arbitrary genus (number of):

- Loops in trivalent maps and identity-subterms in closed linear terms

Limit law: Poisson(1)

- Bridges in trivalent maps and closed subterms in closed linear terms

Limit law: Poisson(1)

- Vertices of degree 1 in (1,3)-valent maps and free variables in open linear terms

Limit law:  $\mathcal{N}((2n)^{1/3}, (2n)^{1/3})$

- Patterns in trivalent maps and redices in closed linear terms

Asymptotic mean and variance:  $\frac{n}{24}$

- Steps to reach normal form for closed linear terms

Asymptotic mean bound below by:  $\frac{11n}{240}$

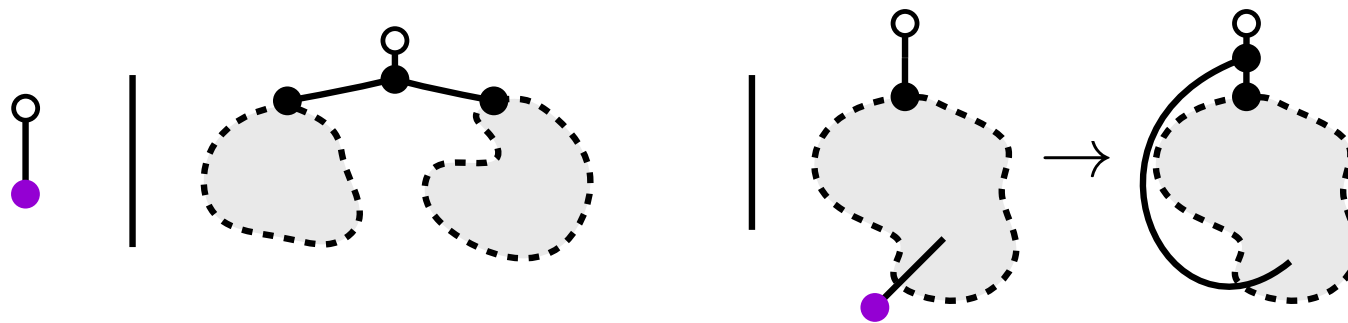
Our strategy:

1) Track evolution of parameters through decompositions of maps/ $\lambda$ -terms

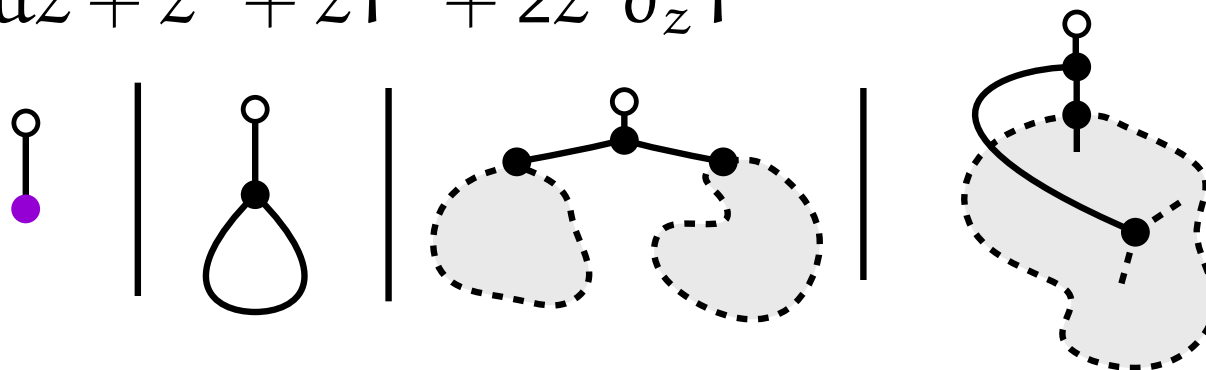
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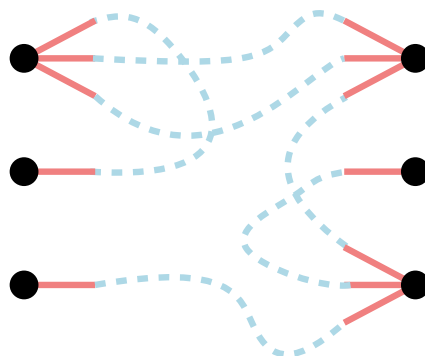
- $T = uz + zT^2 + z\partial_u T$



- $T = uz + z^2 + zT^2 + 2z^4\partial_z T$



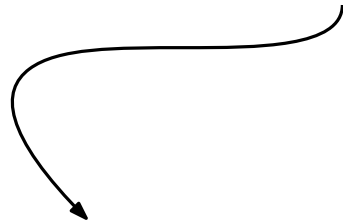
- $T = uz^2 + z^4 + z^5 \frac{\partial}{\partial z} (\ln (\exp(z^2/2) \odot \exp(z^3/3 + uz)))$



# Our strategy:

1) Track evolution of parameters through decompositions of maps/ $\lambda$ -terms

different decompositions  $\rightsquigarrow$  differential equations, Hadamard products, ...



generating functions divergent away from 0

2) Develop tools for rapidly growing coefficients, based on:

- Moment pumping
- Bender's theorem for compositions  $F(z, G(z))$  [B75]
- Coefficient asymptotics of Cauchy products

$$[z^n](A(z) \cdot B(z)) \sim a_n b_0 + a_0 b_n + O(a_{n-1} + b_{n-1})$$

for  $A, B, G$  divergent and  $F$  analytic

# Decomposing rooted open trivalent maps

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edges



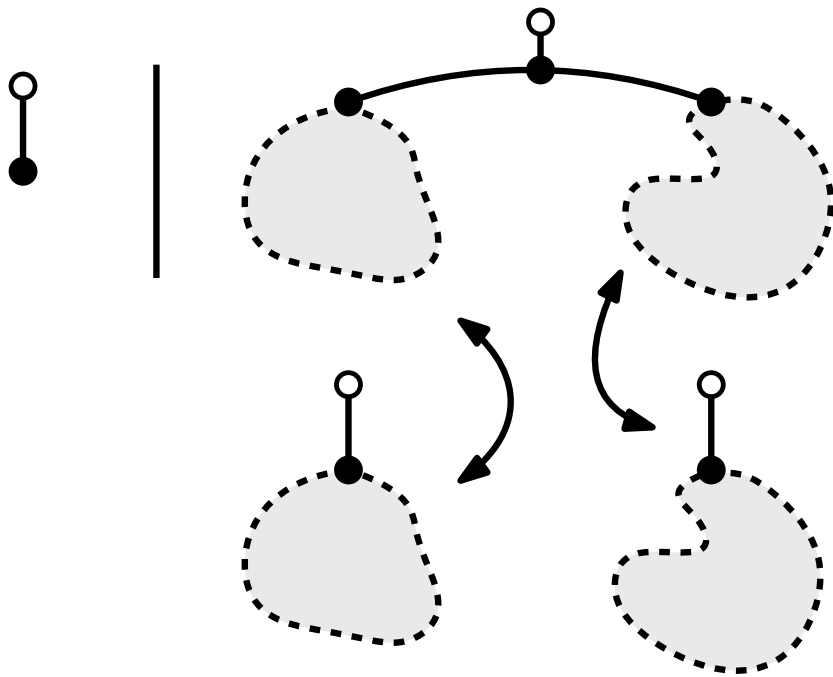
$$T(z, u) = uz$$



unary vertices



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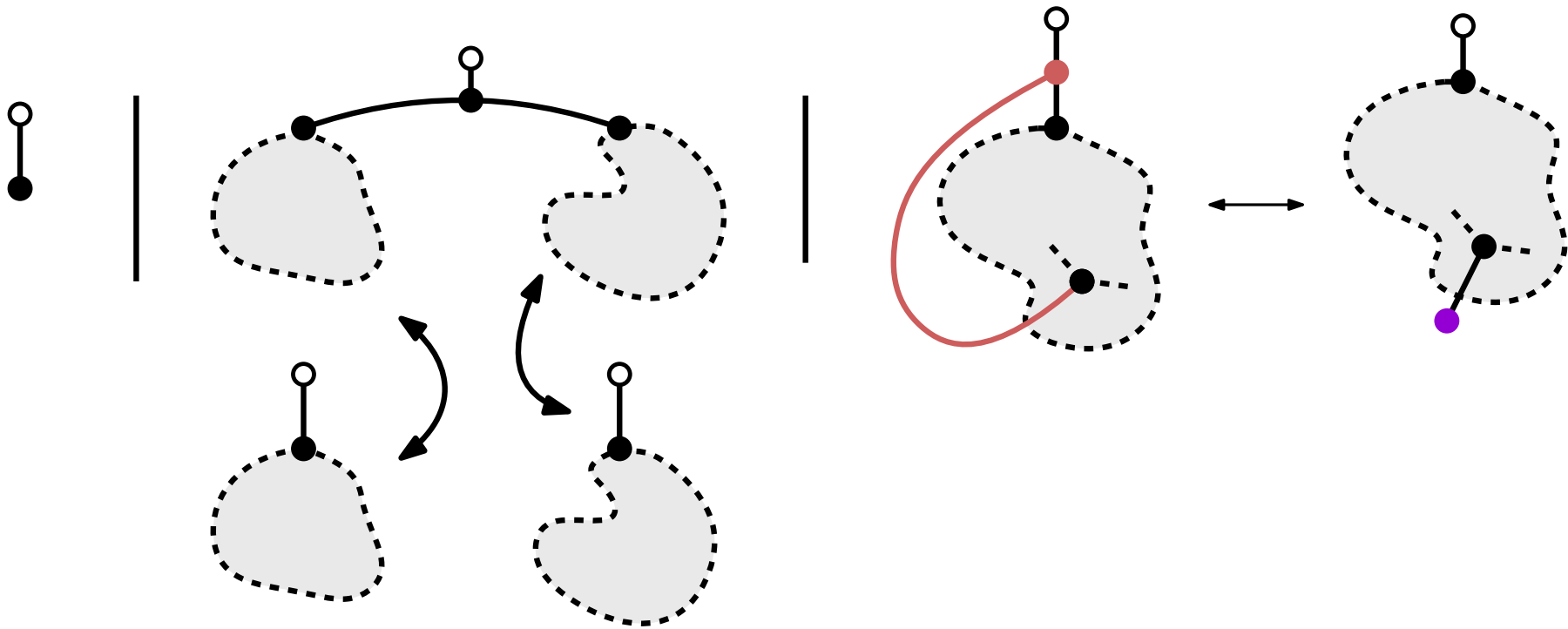


edges

$$T(z, u) = uz + zT(z, u)^2$$

unary vertices

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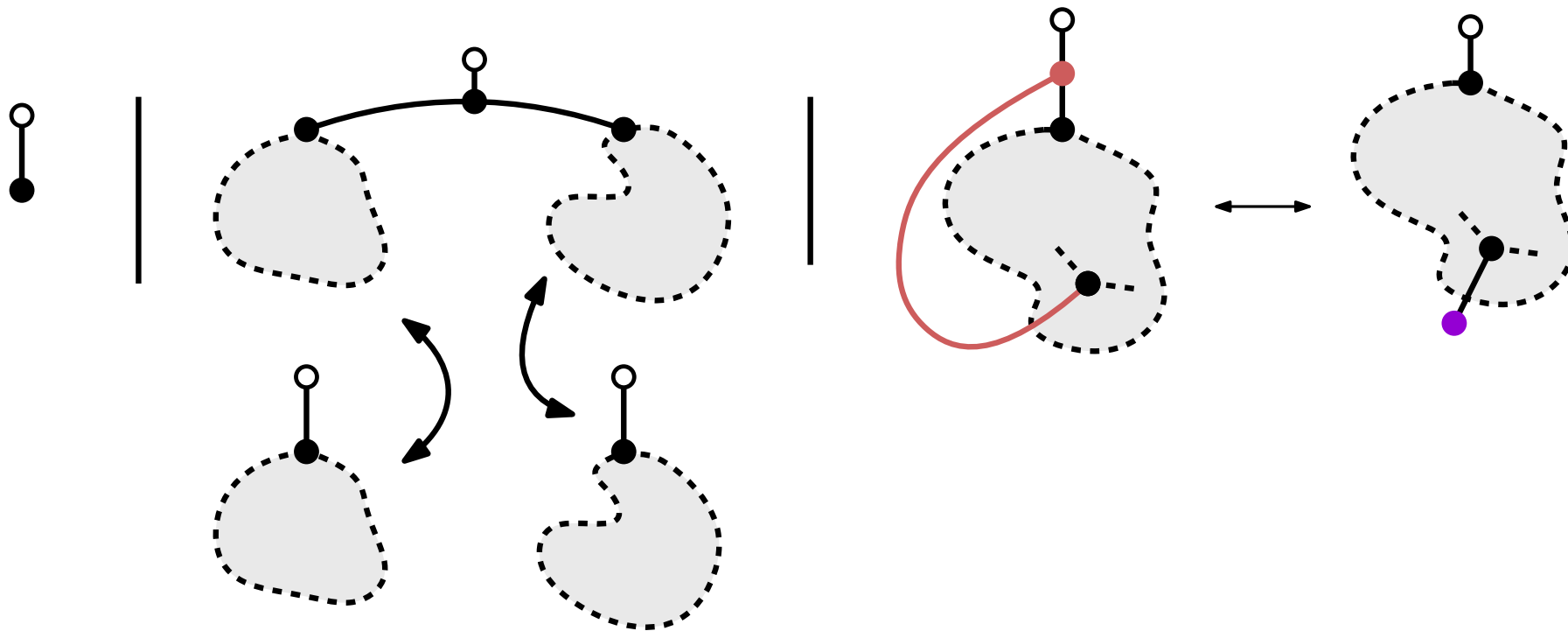


edges

$$T(z, u) = uz + zT(z, u)^2 + z\partial_u T(z, u)$$

unary vertices

# Decomposing rooted open trivalent maps



See also: Schwinger-Dyson eq. of

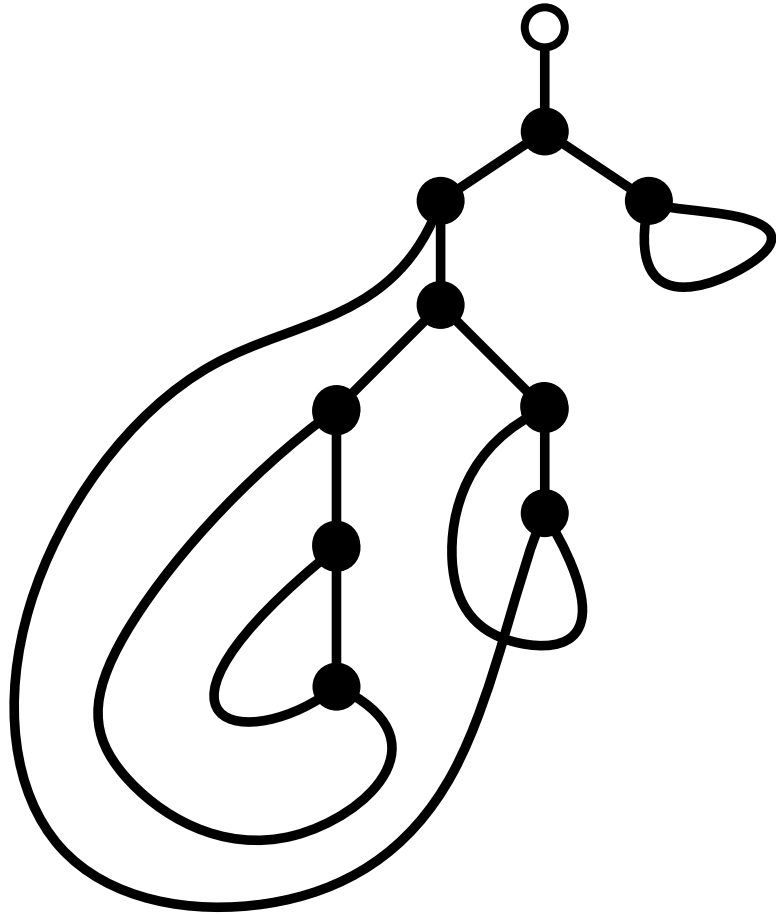
$$z = \int e^{-\left(\frac{\phi^2}{2} + \frac{z\phi^3}{3}\right) + J\phi} d\phi$$

edges

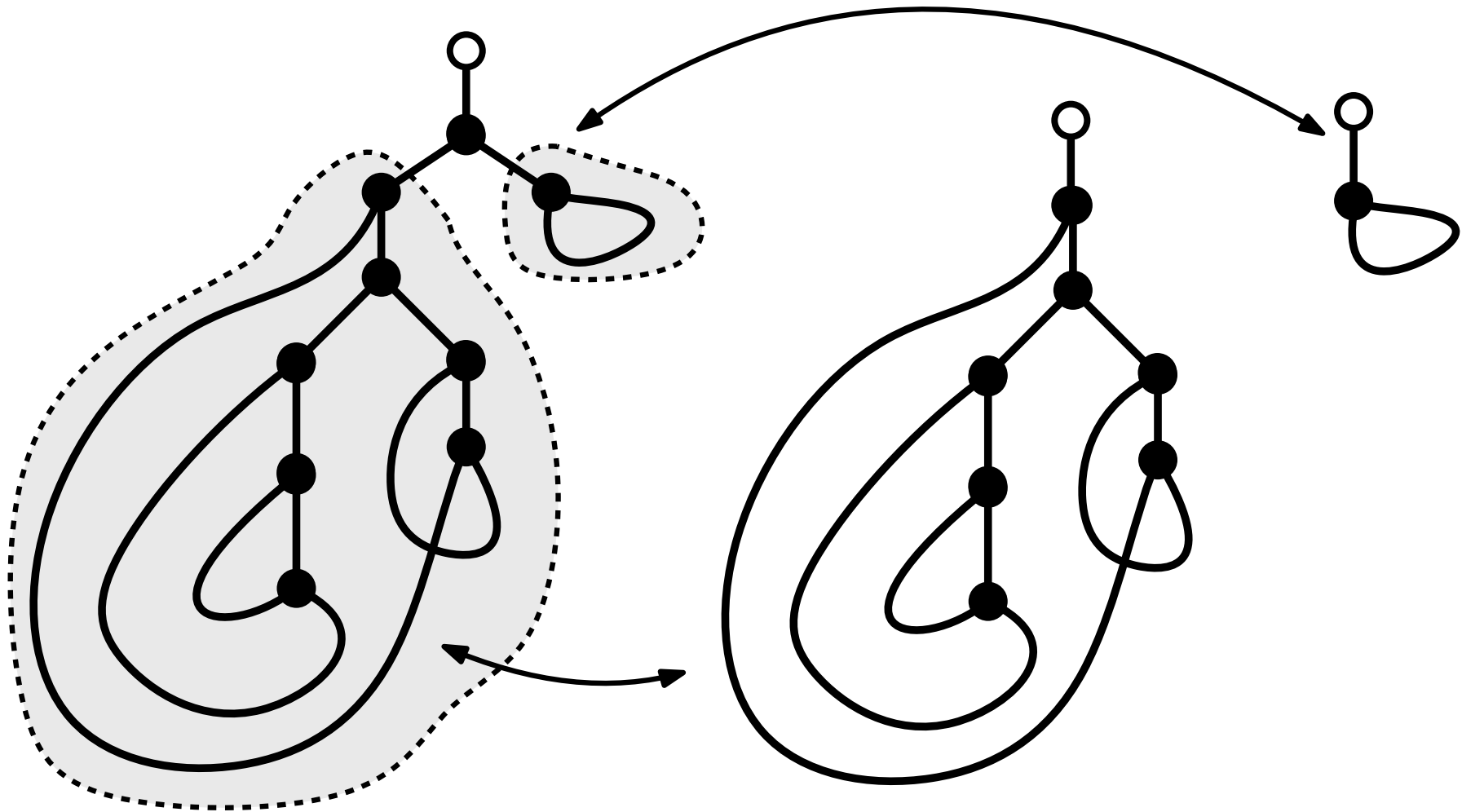
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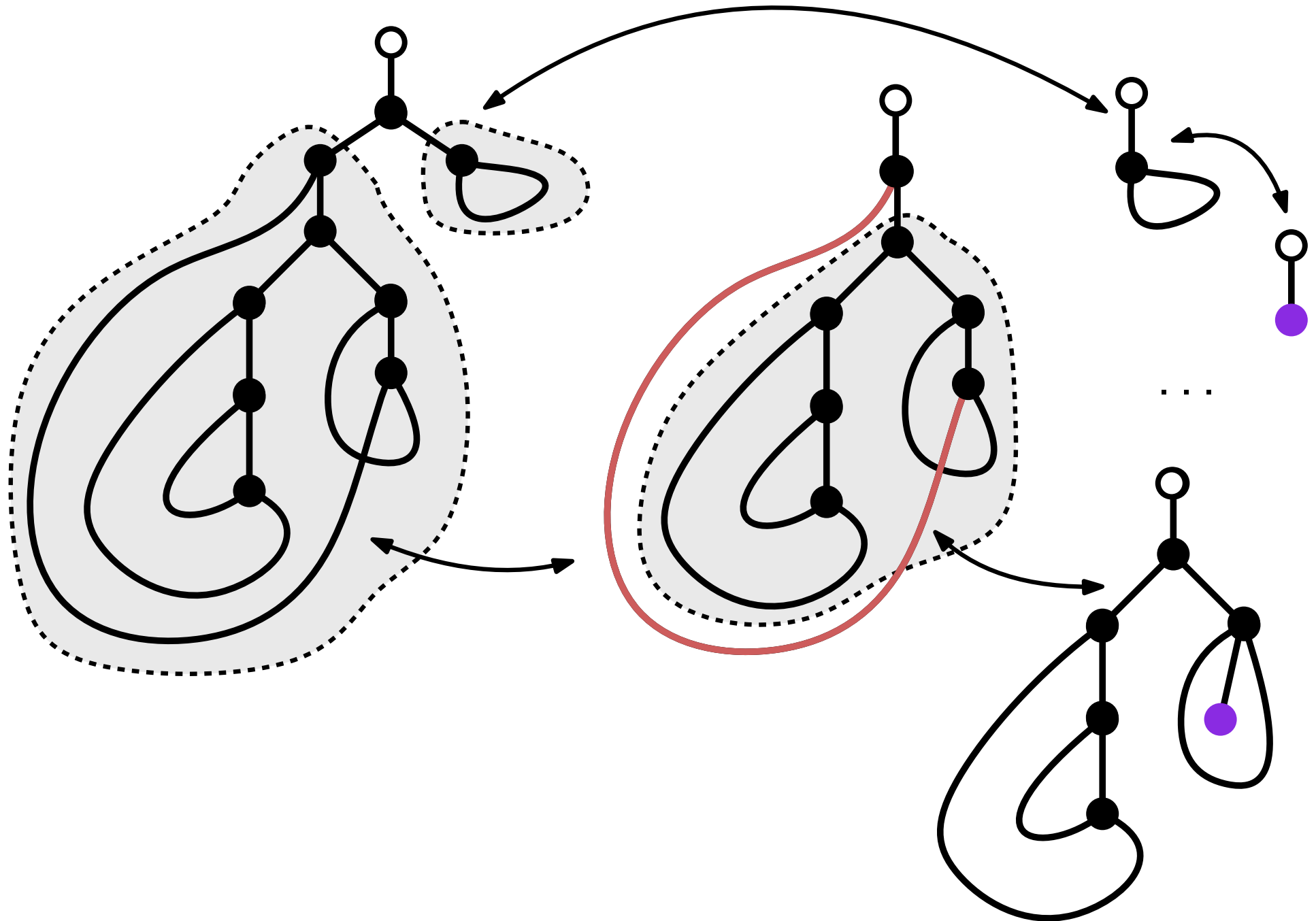
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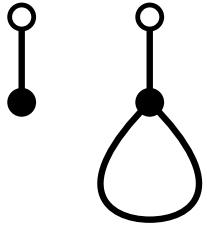


# Decomposing rooted open trivalent maps



# Decomposing rooted open trivalent maps, again

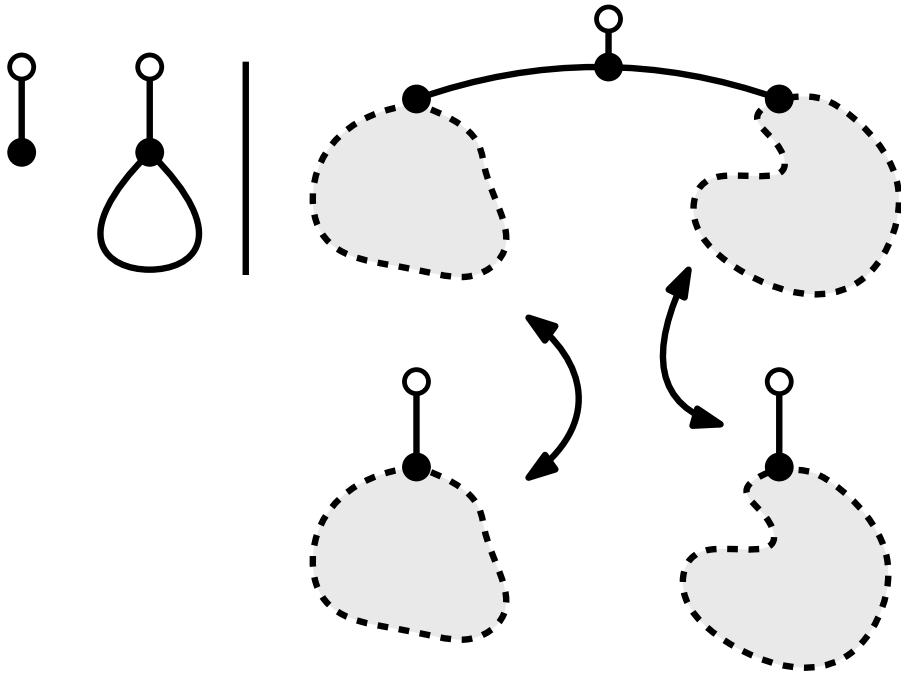
# Decomposing rooted open trivalent maps, again



$$T(z, u) = uz + z^2$$

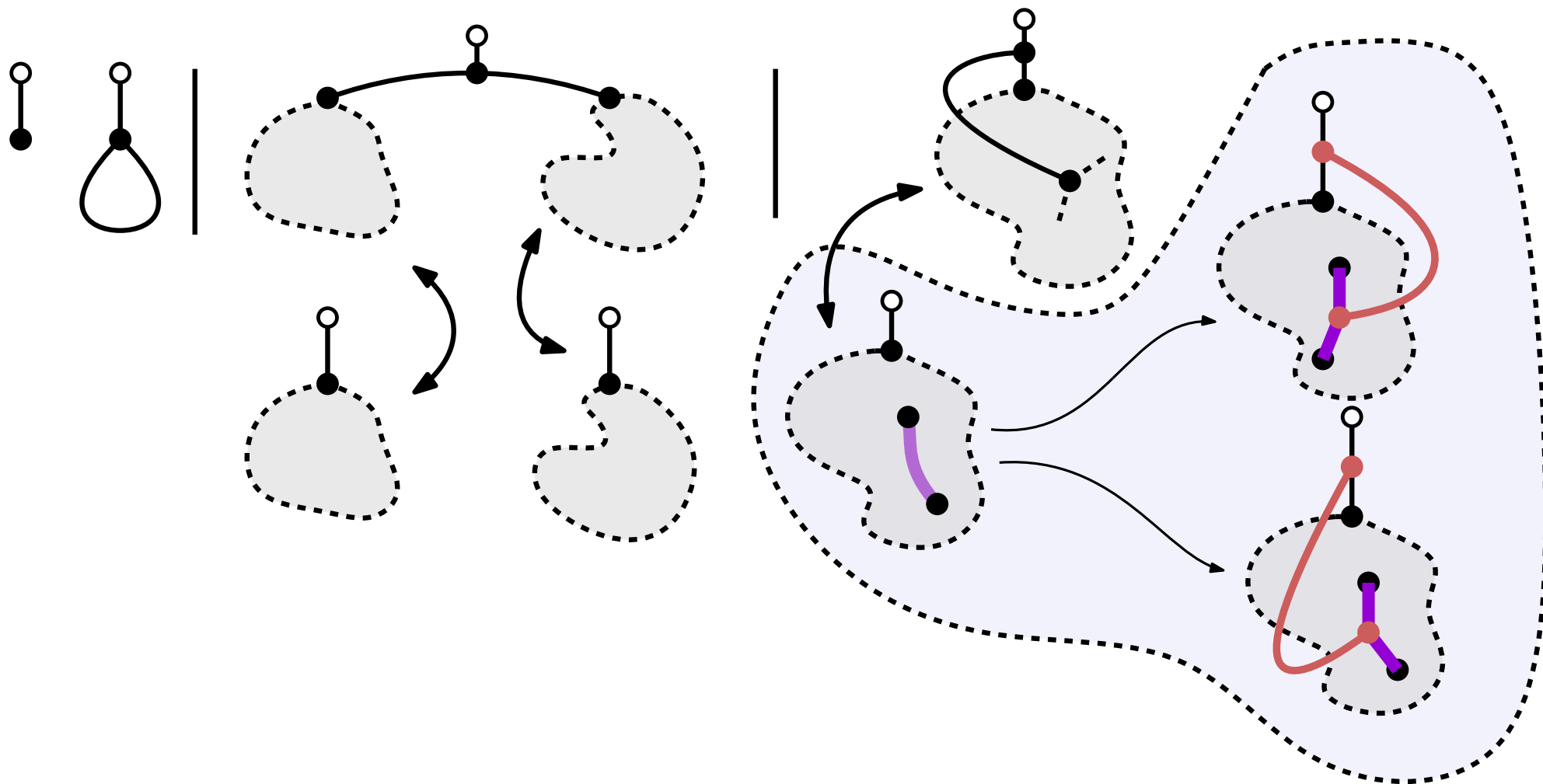


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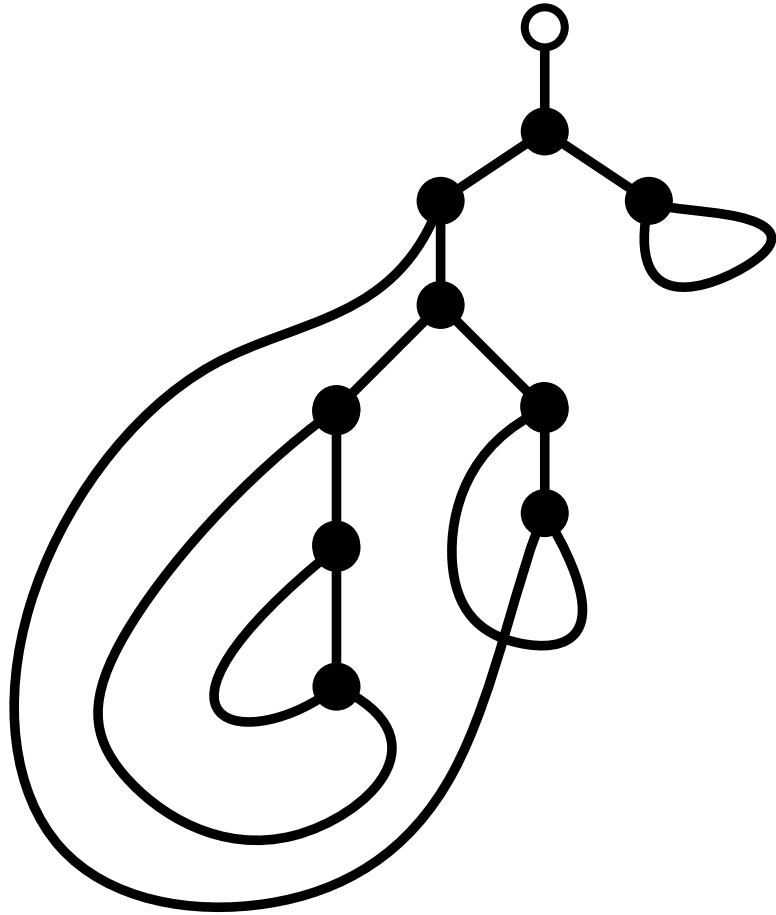
$$T(z, u) = uz + z^2 + zT(z)^2$$

# Decomposing rooted open trivalent maps, again

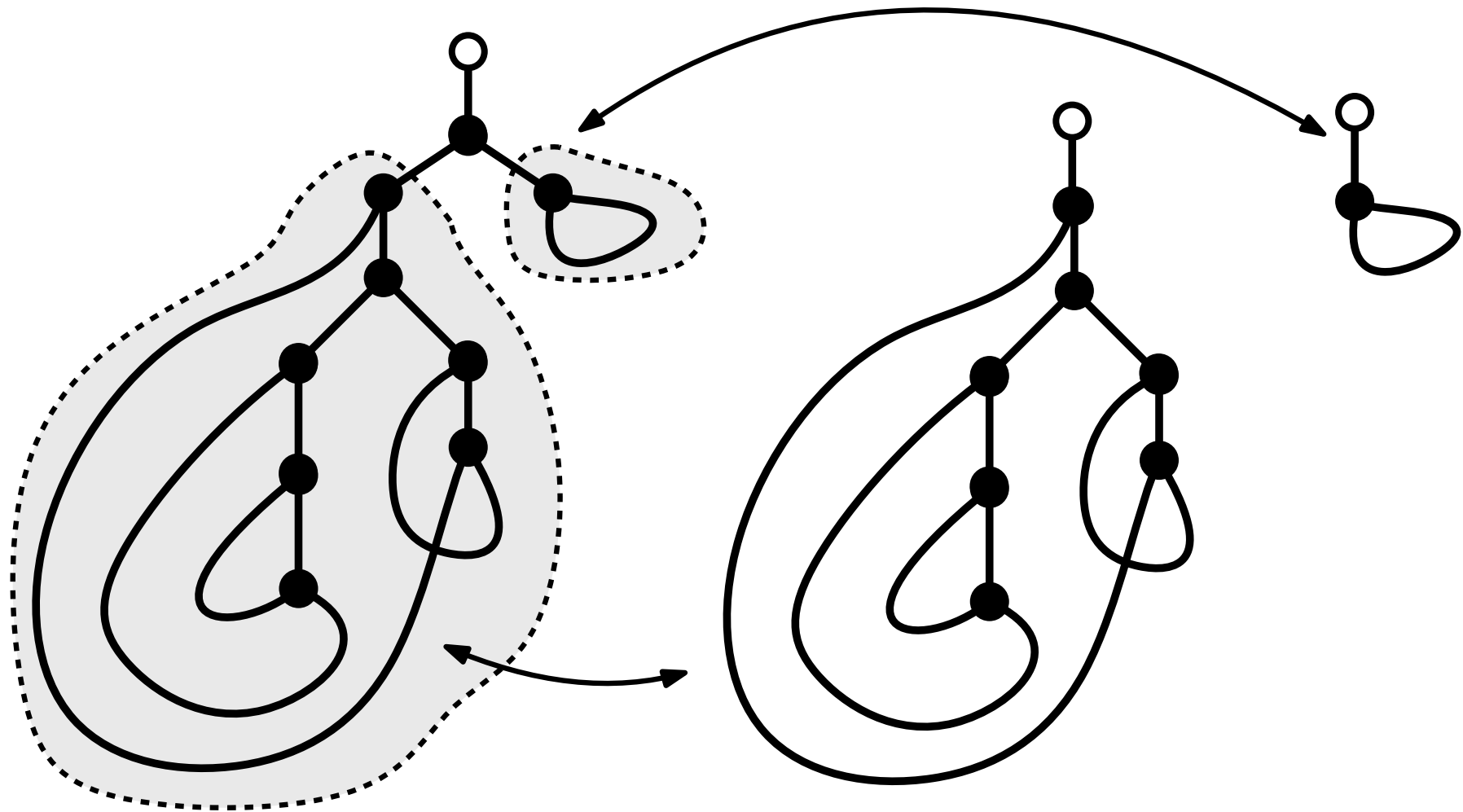


$$T(z, u) = uz + z^2 + zT(z)^2 + 2z^4 \partial_z T(z, u)$$

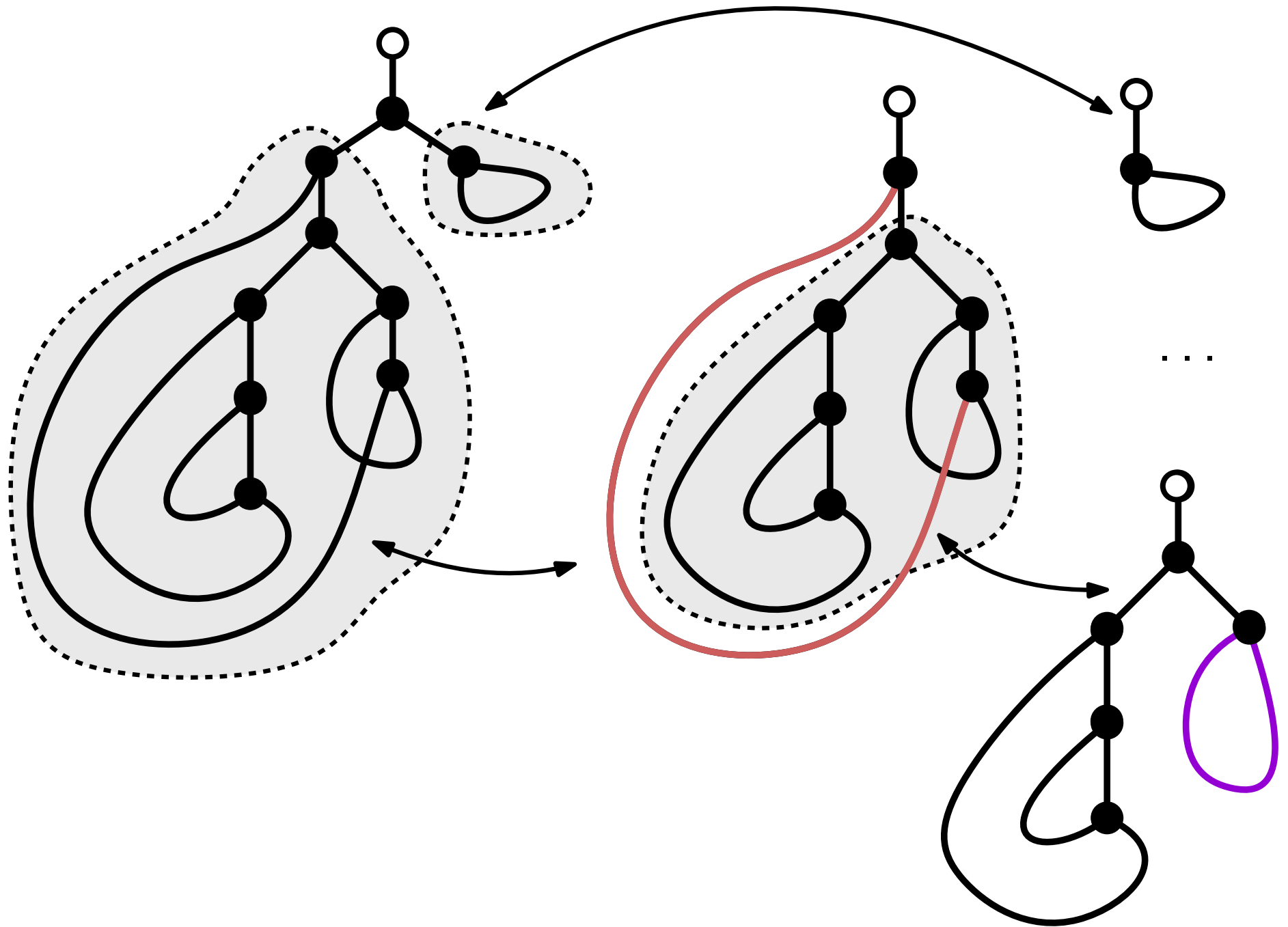
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# Deriving equations via guess-and-prove

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- Get one of the equations for free:  Schwinger-Dyson, elementary combinatorics

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- Guess the other one:  Iterate the first one, solve a large linear system to guess


$$T(z, u) = uz + z^2 + zT(z, u)^2 + 2z^4\partial_z T(z, u)$$



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- Use differential algebra to show equivalence of the two:

```

>
> eq2 := -L(u, z) + u*z + z*L(u, z)^2 + z*diff(L(u, z), u);
> eq1 := -L(u, z) + u*z + z^2 + z*L(u, z)^2 + 2*z^4*diff(L(u, z), z);
                                     eq2 := -L(u, z) + u z + z L(u, z)^2 + z (∂/∂u L(u, z))
                                     eq1 := -L(u, z) + u z + z^2 + z L(u, z)^2 + 2 z^4 (∂/∂z L(u, z))
                                                                                                     (1)
>
> with(DifferentialAlgebra):
> R := DifferentialRing(blocks=[L, E], derivations=[z, u]):
> G := RosenfeldGroebner([eq2, eq1-E(u, z)], R);
                                     G := [regular_differential_chain, regular_differential_chain]
                                                                                                     (2)
> Equations(G[1])[2];
                                     4 (∂^2/∂z∂u E(u, z)) E(u, z) z^5 - 4 (∂/∂z E(u, z)) (∂/∂u E(u, z)) z^5 - (∂/∂u E(u, z))^2 z^2 + 4 E(u, z)^3 z - 4 E(u, z)^2 z^2 u + E(u, z)^2
                                                                                                     (3)
> Equations(G[2]);
                                     [-L(u, z) + u z + z^2 + z L(u, z)^2 + 2 z^4 (∂/∂z L(u, z)), -L(u, z) + u z + z L(u, z)^2 + z (∂/∂u L(u, z)), E(u, z)]
                                                                                                     (4)
> BelongsTo(E(u, z), G[2]);
                                     true
                                                                                                     (5)
> H := RosenfeldGroebner([eq1, eq2-E(u, z)], R):
> BelongsTo(E(u, z), H[2]);
                                     true
                                                                                                     (6)

```

Proof due to Pierre Lairez.

A persistent phenomenon

# A persistent phenomenon

- Loops in trivalent maps:

$$T(z, u, v) = uz + vz^2 + zT(z, u, v)^2 + z\partial_u(T(z, u, v) - uz)$$

easy to derive

$$T(z, u, v) = uz + (v - 1)z^2 + zT(z, u, v)^2 + z\partial_v T(z, u, v)$$

$$T(z, 0, v) = vz^2 + 2(v - 1)^2 z^5 + zT(z, 0, v)^2 + 2z^4 \partial_z T(z, 0, v) - 2z^3(v - 1)(T(z, 0, v) - zT(z, 0, v)^2)$$

easy to guess

First two can be proven equivalent via diff. alg.

All three can be proven equivalent combinatorially (at  $u = 0$ ).

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easy to guess

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All three can be proven equivalent combinatorially (at  $u = 0$ ).

- Similar situations for bridges:

$$T(z, u, w) = uz + z(T(z, u, w)^2 + (v - 1)T(z, u, w)^2) + z(\partial_u T(z, u, w) + (v - 1)\partial_u T(z, u, w))$$

easy to derive

$$\partial_w T(z, 0, w) = -\frac{w^2 T(z, 0, w)^3 + z^2 T(z, 0, w) - T(z, 0, w)^2}{(w^3 - w^2)zT(z, 0, w)^2 + wz^2 - (w - 1)T(z, 0, w)}$$

easy to guess

Combinatorics shows that two are equivalent.

# Questions

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- Can this be done consistently and automatically?

Tetravalent maps

$$\int e^{-\left(\frac{\phi^2}{2} + z\frac{\phi^4}{4}\right) + J\phi} d\phi$$

easy to derive (SD)

$$\Phi = -z\partial_J^2 \Phi - 3z\Phi\partial_J \Phi - z\Phi + J, \Phi = \langle \phi \rangle_J$$

easy to guess

$$F = \frac{1+zF^2+4z^2\partial_z F}{1-2z}, F = \partial_J \Phi_{J=0}$$

birooted



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Tetravalent maps

easy to derive (SD)

$$\int e^{-\left(\frac{\phi^2}{2} + z\frac{\phi^4}{4}\right) + J\phi} d\phi \rightarrow \Phi = -z\partial_J^2 \Phi - 3z\Phi\partial_J \Phi - z\Phi + J, \Phi = \langle \phi \rangle_J$$

easy to guess

birooted

$$\rightarrow F = \frac{1+zF^2+4z^2\partial_z F}{1-2z}, F = \partial_J \Phi_{J=0}$$

- Can we deal with projections?

$$T = u + zT^2 + z\partial_u T \rightarrow T|_{u=0} = z^2 + z(T|_{u=0})^2 + 2z^4\partial_z T|_{u=0}$$

# Questions

- Can this be done consistently and automatically?

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easy to derive (SD)

$$\int e^{-\left(\frac{\phi^2}{2} + z\frac{\psi^2}{2} + \frac{\phi^3}{3} + \frac{\psi^3}{3} + \phi\psi\right) + J\phi} d\phi d\psi \rightarrow \begin{cases} A = -u - z(A^2 + \partial_u A) + aB(z, u, v) \\ B = -u - z(B^2 + \partial_u B) + aA(z, u, v) \end{cases}$$

???

# Questions

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- Ubiquity of Riccati equations?

See: R. J. Martin and M. J. Kearney, "An exactly solvable self-convolutive recurrence", *Aequationes mathematicae* vol. 80, 2010

# Questions

- Can this be done consistently and automatically?

Tetravalent maps

easy to derive (SD)

$$\int e^{-\left(\frac{\phi^2}{2} + z\frac{\phi^4}{4}\right) + J\phi} d\phi \xrightarrow{\text{easy to derive (SD)}} \Phi = -z\partial_J^2 \Phi - 3z\Phi\partial_J \Phi - z\Phi + J, \Phi = \langle \phi \rangle_J$$

$$\xrightarrow{\text{easy to guess}} F = \frac{1 + zF^2 + 4z^2\partial_z F}{1 - 2z}, F = \partial_J \Phi|_{J=0} \xrightarrow{\text{birooted}}$$

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Thanks!

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